

32692

Customer Number

Patent
Case No.: 58709US004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: LEWANDOWSKI, KEVIN M.

Application No.: Unknown Group Art Unit: Unknown

Filed: March 23, 2004 Examiner: Unknown

Title: RING-OPENED AZLACTONE CHAIN TRANSFER AGENTS FOR RADICAL POLYMERIZATION

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF EXPRESS MAILING

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March 23, 2004 Hylis H. Froelke

Date

Signed by: Hylis H. Froelke

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. Copies of any cited foreign patents, non-patent literature, and unpublished US application documents are enclosed. Pursuant to the waiver in the Pre-OG Notice, dated July 11, 2003, copies of US patents and published US patent applications are no longer required and are not enclosed. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Respectfully submitted,

Date

March 23, 2004 By:

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Office of Intellectual Property Counsel
3M Innovative Properties Company
Facsimile No.: 651-736-3833

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Page 1 of 1

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| Application Number | Unknown |
| Filing Date | March 23, 2004 |
| First Named Inventor | Lewandowski, Kevin M. |
| Art Unit | Unknown |
| Examiner Name | Unknown |
| Attorney Case Number | 58709US004 |

U.S. Patent Documents

| Exam. Init.* | Cite No. | Document Number | Publication Date or Issue Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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| | | Doc. Number-(Kind Code if Known) | | | |
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Foreign Patent Documents

| Exam. Init.* | Cite No. | Foreign Patent Document | | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | Translation (Check if yes) |
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| | | Ctry. Code | Number-KindCode (If known) | | | | |
| | B1 | WO | 97/18247 | 05/22/1997 | | | |
| | B2 | WO | 99/3114 | 06/24/1999 | | | |
| | B3 | WO | 02/26836 A2 | 04/04/2002 | | | |

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

| Exam. Init.* | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published |
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| | C1 | A. ŠEBENIK, "Living Free-Radical Block Copolymerization Using Thio-Iniferters", Prog. Polym. Sci., (1998), pp. 875-917, Vol. 23 |
| | C2 | "Polyazlactones", Encyclopedia of Polymer Science and Engineering, (1988), pp. 558-571, Vol. 11, 2 nd Edition, John Wiley and Sons |
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| | C5 | M. FREEMANTLE, "In Control of a Living Process", Chemical and Engineering News, (September 9, 2002), pp. 36-40 |
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*Examiner:

Date Considered:

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